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UNITED STATES

**Title: METHOD AND APPARATUS FOR MONITORING TRACE CONSTITUENTS IN FLUE GASES, UTILIZING A LASER BEAM**

**Inventor: SHACHAR NADLER**

**Title: METHOD AND APPARATUS FOR MONITORING TRACE CONSTITUENTS  
IN FLUE GASES, UTILIZING A LASER BEAM**

5                   **CROSS-REFERENCE TO RELATED APPLICATION**

This application is a continuation of application serial no. 08/508,505  
filed July 28, 1995, now abandoned.

10           **FIELD OF THE INVENTION**

This invention relates to a method and apparatus for monitoring and  
measuring trace constituents in a fluid, and more particularly relates to  
monitoring and measuring trace constituent gases in the atmosphere. It is  
particularly concerned with apparatus suitable for remote sensing and  
15           monitoring of such constituents.

**BACKGROUND OF THE INVENTION**

Pollution is of ever increasing concern. One type of pollution that is of  
particular concern is atmospheric pollution by various gases. Consequently,  
20           it is becoming desirable to monitor gases, such as stack gases, emitted by  
industrial plants. This enables air quality to be monitored and emission  
standards to be met. It can also be a valuable process control tool, since  
variations in stack gases can be indicative of changes in process conditions  
and possible inefficiencies in the plant being monitored.

25           Various proposals have been made for monitoring stack gases, but  
most of them suffer from a number of deficiencies. For example, in U.S.  
patent 3, 517,190, there is a relatively old proposal for monitoring a smoke  
plume from a stack. It is illuminated with radiation of a broad spectral range.  
A remotely positioned receiver, in proximity to the source receives scattered  
30           radiation. A processing technique is used to develop signals from the  
scattered radiation and to analyze these signals to measure the quantity of an  
absorbing gas of interest in the plume. Here, both the source and the  
detector are located remotely from the plume, and must be accurately aligned

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to illuminate the plume and receive the radiation reflected back. The patent notes that various conditions need to be met for the method to be accurate, including scattering and absorption agents being uniformly distributed throughout the plume section. The scattering co-efficient over the spectral  
5 interval needs to be considered constant or its variation needs to be predictable, and the stack plume must be optically thin, i.e. the radiation scatter must be small compared to incident radiation. It notes a problem that might arise by scattering of radiation from clouds in the background.

A solution to some of these problems is to mount both the source and  
10 a detector close to the top of a stack. However, this is usually an extremely hostile environment, where instruments are subject to extreme weather conditions and gases emitted by the stack. Since the source and the detector are relatively sensitive instruments, this can cause problems, and it is not easy to access the instruments for repair and maintenance.

15 U.S. patent 3,838,925 shows a photoelectric opacity measuring system that is mounted on a smoke stack. It suggests the use of a conventional lamp for illumination. A further installation on a stack is disclosed in the Ryan U.S. patent 4,652,756.

More recent proposals do suggest the use of a laser light source, such  
20 as in U.S. patent 5,343,043 (Johnson). This discloses a remote sensor device for monitoring motor vehicle exhaust systems, and is intended to provide high speed sampling. The laser is provided immediately adjacent to the intended path, but there is no discussion of remotely mounting the laser. Given the intended application, remote mounting of the laser would give no  
25 significant advantage or benefit.

A more recent patent 5,373,160 is concerned with detection of remote hazardous air pollutants. It directs a laser beam of infrared light along a sight path, to illuminate the gases. A telescope is directed along the sight path and collects light from the gases. An optical filter is coupled to the telescope for  
30 selecting a particular optical wavelength or band, and focusing a filtered wavelength on the detector. The invention is intended to provide a long path

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infrared spectrometer arrangement, and mentions a path length of up to 6km. As such, the laser would apparently be mounted some distance from a plume from a stack. As noted above, this could lead to various problems in obtaining an accurate reading.

5 Current and upcoming regulations involve measuring emissions from industrial stacks. Examples of the emission gases that require monitoring include CO<sub>2</sub>, CO, NO<sub>x</sub> and SO<sub>2</sub> in fossil-fuel combustion processes, NH<sub>3</sub> slippage in ammonium-denox power utilities, HF in aluminium and ceramic production, H<sub>2</sub>S and reduced sulphur in pulp and paper plants, CH<sub>4</sub> in natural  
10 gas pumping stations, HCl in incinerators, etc.

Standard methods have been developed for extracting samples of stack gas for subsequent analysis in the laboratory. Such methods have dropped from favour because of questions of representativeness of these samples. They have now largely been replaced by continuous extractive methods where the stack gases are continuously sampled from the stack to instruments, located outside the stack, usually separate instruments for each of the stack gases being monitored. Such extractive methods are very complex and cumbersome, requiring heating along the sample lines and elaborate calibration techniques. They also require the cumbersome extractive probe, associated sampling lines and instruments to be located at the stack level, with the resulting exposure to varying weather conditions. They also require maintenance of the instrumentation at locations which are difficult and inconvenient to access. Nevertheless, these extractive methods are the basis of most EPA (Environmental Protection Agency) approved methods in the U.S.A.

The difficulties and inconveniences of these extractive methods have given rise to the development of optical methods of continuous in-situ monitoring of stack gases by transmitting a light beam of appropriate wavelength across all, or part of, the stack, and measuring the optical absorption. Both infrared and ultraviolet wavelengths have been used for this

procedure. The advantages over extractive methods include the elimination of the heated sampling probes. One disadvantage of these remote-sensing optical methods is that the light sources that have been used to date are broad-band, which can result in interferences between the individual gases in the stack gas mixture. For example, in power plant utilities using  $\text{NH}_3$ -denox procedures it is impossible to distinguish  $\text{SO}_2$  from  $\text{NH}_3$  using the existing ultraviolet instruments. Similarly, the use of fourier-transform infrared (FTIR) techniques encounters large interferences from  $\text{CO}_2$  and  $\text{H}_2\text{O}$ . In addition, regulatory agencies, such as the US EPA, have demanded that calibration of such instruments must be performed in the stack under conditions of the same pressure and temperature as the stack gases since it is known that optical absorption is dependent on both temperature and pressure. Also, separate instruments are still required at each stack location in a multiple stack industrial site.

Although existing optical methods do eliminate the need for heated sampling lines, they still require the presence of the optical instrument at the stack location with the aforementioned difficulties of exposure to inclement weather and large temperature variations and with the difficulties in accessing the instruments for servicing.

#### SUMMARY OF THE PRESENT INVENTION

*Out a1*  
~~In accordance with the present invention, there is provided an apparatus for remote detection of selected trace constituents in a fluid, for example in flue gases. The apparatus is provided, in use, in an installation comprising at least one stack for discharging flue gases to atmosphere and at least one building providing an enclosed area. The apparatus comprises:~~

a laser for generating a laser beam;

an optical transmission means, for transmitting the laser beam through a fluid, and connected to the laser;

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ar

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and the detector means.

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combiner means also being connected to the detector means. A reference

cell can then be provided, connected to the beam splitter and combiner means for receiving part of the radiation from the laser, for reference purposes.

Advantageously, the apparatus includes a multiplexer means and a plurality of pairs of transmission and receiving means, the multiplexer means providing a connection between the optical fiber and the pairs of transmission and receiving means, for selective connection to one pair thereof. A multiplexer means can also be provided between a plurality of laser sources and the optical fiber, in addition to or instead of splitter and combiner means, to enable a number of different frequencies to be transmitted through the gas or fluid. Where two optical fibers are required, then two multiplexers would be provided

The transmission and reception means can be provided in various ways. The transmission means and the receiving means can comprise a single unit providing for coaxial transmission and reception, the apparatus further including a retroreflector for reflection of the laser beam transmitted from the transmission means back to the receiving means. In another embodiment, the receiving means is separate from the optical transmission means, for mounting on either side of an area through which a gas or fluid to be analyzed passes, and in this case two optical fibers would be required. In a further embodiment, the transmission means and the receiving means comprise a point source monitor, including a multipass sample cell, providing an extended analytical path. In a fourth embodiment, the transmission means and the receiving means are provided in a stack probe, for monitoring a flow including dust particles, the probe including means for maintaining optical transmission surfaces free of dust particles.

A control unit can be provided that modulates the laser beam, which preferably is an infrared beam generated by a diode laser. The control unit then includes a two tone generator for generating two frequencies that modulate the laser beam. The detector detects a return signal which is the difference in those two frequencies and is connected to the control unit and

at

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Figure 4a is a schematic view of fiber optic connections showing the use of multiple lasers in a first embodiment of the invention;

*a)* Figure 4a is a schematic view of fiber-optic connections showing the use of multiple lasers in a second embodiment of the invention;

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Figure 5a is a detailed schematic view of the optical configuration for a remote sensing configuration of the first embodiment;

Figure 5b is a detailed schematic view of the optical configuration for a remote sensing configuration of the second embodiment;

*a)* Figure 4a is a schematic view of fiber-optic connections showing the use of multiple lasers in a second embodiment of the invention;

Figure 5a is a detailed schematic view of the optical configuration for a remote sensing configuration of the first embodiment;

Figure 5b is a detailed schematic view of the optical configuration for a remote sensing configuration of the second embodiment;

Figure 6 is a detailed schematic view of the optical configuration showing a point source monitor of the apparatus of the present invention;



Figure 8 is a schematic view of an application of the present invention to remote monitoring of stack gases.

## 5

10 The single fiber technique is preferred for the reasons given below, although  
for some applications two fibers are required.

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gain loss permits the laser and laser controller 10 to be located several hundred metres away from the site at which the actual measurement takes place.

Reference will now be made to Figure 5a, which shows details of a transmitter telescope 70 suitable for the configuration 14a, but with the laser and detector located on the telescope. The connection to the telescope would then be by way of conventional cables and not by optical fibers.

In Figure 5a, the laser 66 is mounted by a laser mount 71 and its output is reflected off a folding flat mirror 72. A beam splitter mirror 74 splits off a small portion, approximately 10 percent of a laser beam, to a secondary detector or reference cell 76. The telescope 70 may be either refractive, reflective or a combination of both. The telescope aperture is given or set by the range of analytical path required; a 10 cm. aperture is required for a 10 - 500 meter base path a 20 cm. aperture is required for 500 - 2,000 meter path.

The main output from the laser continues to a transmission or steering mirror 78. This reflects the output to form a beam 98a travelling to a retroreflector 80 (Figure 1a), which provides a cubic array in known manner, to reflect the beam back along the same path on which it was received. Consequently, the analytical path is twice the base path distance. The returned beam 98b is received by a concave mirror 82, which focuses the beam down to a secondary mirror 84. This mirror 84, in turn, focuses the beam into a main detection cell 86. Within the cell 86, a beam splitter 88 divides the beam into a small portion directed to an eye piece or automatic alignment mechanism 90, and a main portion that passes through to a primary detector 92.

It will be appreciated that the telescope could readily be modified for connection to a remote laser and detector, by removing the laser and the detector and providing appropriate connections for the two optical fibers 22a, 22b.

The second preferred embodiment of the present invention utilizes a single mode fiber but otherwise is similar in many respects to the first embodiment. For simplicity and brevity, similar reference numerals used for the first embodiment are used for the second embodiment, but starting at 5 200, so that the laser controller 10 becomes laser controller 210, computer 12 becomes computer 212, etc. For brevity, descriptions of common elements are not repeated and the description of the first embodiment is equally applicable.

Referring to Figure 1b, the laser controller 210 includes a 10 beam splitter and combiner 130 having a connection to the laser 266. A signal detector 132 is connected to the beam splitter 130 for receiving a returned beam, while a reference cell 134 is also connected to the beam splitter and combiner 130 for receiving a portion of the original laser beam from the laser 266 for reference.

15 The remote sensing instrument or telescope 214 is similar to the configuration 14a of the first embodiment. However, just one optical fiber 230 is provided, which is a single mode optical fiber, which is connected between the beam splitter and combiner 130 and the telescope or instrument 214. As before, a retroreflector 280 is provided.

20 It will be appreciated that, as shown in Figure 1b, the beam splitter and combiner 130 diverts half of the radiation from the laser to the reference cell 134. Correspondingly, the effect of the beam splitter 130 is to cause only half of 50% of the return radiation to reach the signal detector 132; the other half is transmitted to the laser 266, and an optical-isolator is 25 provided that protects the laser from the interfering effects of this radiation. Accordingly, the overall efficiency is only 25%.

However, as compared to the two fiber system of Figure 1a, this is an improvement. Due to the inefficiencies in collecting the returned radiation, typically only 15% efficiency is achieved for the two fiber system. 30 Thus, despite the extra inefficiencies built in by the beam splitter 130, the overall efficiency is in fact greater with a single fiber.

Figure 5b shows details of the optical configuration of the instrument 214. The optical fiber 230 terminates at termination end 231 in a connection unit 286. The unit 286 provides an eye piece 290 and an input/output connection. It includes a beam splitter 288 for diverting a portion  
5 of the returned beam to the eye piece 290 for alignment with the retroreflector 280. As before, the telescope assembly includes a concave mirror 282 and a secondary mirror 284.

Unlike the first embodiment of Figure 5a, the laser 66 and related components are omitted, since the laser 66 is provided remotely as  
10 laser 266 in the control unit. Instead, the beam is received through the single mode fiber 230 and focused by the mirrors 284/282. The retroreflector 280 by its inherent design returns the beam along exactly the same path. The mirrors 282, 284 ensure that the beam 298 returns along the same path and is focused on the end of the optical fiber 230. Thus, even though a single  
15 mode optical fiber 230 has a small cross-section of approximately 10 microns, efficient collection of a returned beam can be obtained, and indeed virtually all the returned beam can be collected and returned back down the optical fiber 230. Even if the end 231 of the optical fiber 230 is slightly misaligned, the optical arrangement is such that the return beam will always  
20 exactly traverse the path of the outgoing beam, so such misalignment has no effect.

Reference will now be made to Figure 2 which shows details of the laser controller 10 of the first embodiment; this controller is also applicable to the second embodiment, described below. The controller 10  
25 includes a two tone generator 50. This two tone generator includes a function generator 52 connected to a power splitter 54. The function generator 52 generates a frequency "r". Part of the signal is split off to a frequency doubler and phase adjuster unit 56, where the frequency is doubled to "2r".

The main portion of the signal is fed to a mixer 58, which  
30 also has an input connected to a generator 60. The generator 60 generates a frequency "F". The mixer 58 mixes the two signals to create two signals  $F + r$

and  $F - r$ . These two signals are amplified in an amplifier 62 and then connected to a laser control unit 64. The laser controller includes the control unit 64 and the actual laser, indicated at 66, controlled by the control unit 64. The laser 66 is a near infrared (NIR) diode laser. The laser control unit 64 provides a thermoelectrically cooled unit for temperature control, for coarse control. Laser emission is obtained by injecting an appropriate current across the diode supplied by a stabilized current source. The laser control unit 64 also provides a ramp generator with a frequency in the range 10-100 kHz to fine tune the emission wavelengths rapidly across the absorption range of interest. A stable repetitive scan facilitates multi scan averaging which in turn improves the sensitivity of the system. The additional frequencies supplied by the two tone generator 50 augment the basic sensitivity of the system. The two tone frequency modulation facilitates data extraction from the FM bands generated. The two signals  $F + r$  and  $F - r$  are superimposed on the laser driver current by means of a bias T.

Figure 2 indicates a detector at 92, 132 to indicate that the detector could either be mounted on the telescope as in Figure 5a or remotely as in Figure 1b. The signals received by the detector 92, 132 are band filtered and demodulated in a filter unit 94, which also allows for the bias T. The filtered signal  $2r'$  is fed to a mixer 96, which also receives the original signal  $2r$  from the frequency doubler 56. If the detected signal  $2r'$  is the equivalent to the signal  $2r$  fed to the mixer 96 and representative of the original signal, then the difference between the two signals will be 0 and the DC output will be 0. Where an absorption feature distorts the measured signal  $2r'$ , then there will be a difference, and a DC output will be provided, which is proportional to the detected difference. The DC output from the mixer 96 is low passed filtered and fed to an analogue digital converter interfaced with the PC based micro computer 12, for averaging, processing, comparison and temperature display.

For fixed frequency amplitudes, the measured DC voltage at the mixer 96 is directly proportional to the concentration of the absorbing gas.

5 ~~control the operation of the controller 10.~~

10 provides a point source of radiation that is focused by the mirror 103 to a beam 106. A cubic retroreflector 108 reflects this beam back as indicated at 107. The beam 107 is reflected by the second parabolic mirror 104 on to the end of the multimode fiber 22b.

15 return beam paths 106, 107. At 112, an inlet and an outlet are provided for calibration gas, for the calibration cell 110.

20 effective, analytical path of 200cm.

25 The flow is such as to provide the necessary cleaning effect, without  
significantly disrupting flow of the gas of interest through the working section  
114.

104, this is not essential. It can be configured for use with the second embodiment of the invention, where a single optical fiber is provided. In this

case, a single input connection can be provided similar to that shown in Figure 5b, and the mirrors 103, 104 omitted. A focus arrangement can be provided, and the retroreflector 108 configured to ensure that the return beam retraces exactly the outgoing beam. This again should ensure that the return  
5 beam is focused on to the end of the optical fiber.

The point source monitor 16 uses a light folding multi path analytical cell. The optical path in the cell may be adjusted to accommodate sensitivity requirements. Low volume multipath cells with a fixed optical path length of 12.5 and 50.4 metres are presently used by the applicant, but other  
10 configurations can be developed for other applications. Path lengths of up to 100 metres can be provided.

The overall system is a high resolution spectrometer that can detect and measure a large range of trace gases and ambient air. It can monitor one or several gases simultaneously, and as such is a powerful tool  
15 suitable for applications in air quality control, emission control and industrial process control.

The remote sensing configuration of Figures 1a or 1b is suitable for measuring pollutant trace gases in ambient air. In an open environment, it can be installed on roof tops, and used as a monitor to record  
20 trends in air quality. It can also be used indoors. For large factory settings, the remote sensing configuration can be installed immediately below the ceilings of the manufacturing facilities. For smaller structures, the point source monitor 16 can be used for individual rooms or at an air circulation facility.

25 The system can be used for self-policing and as a potential standard for a regulatory market.

The configuration 14a or 214 can also be installed across a road for monitoring a car, and exhaust plumes in passing traffic. This system can be combined with an automatic camera, so as to record and identify  
30 vehicles whose exhausts offend set regulatory limits.

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The stack monitor probe 18 and the point source monitor 16 can be installed on a stack to monitor industrial exhausts and flue gases. The point source monitor 16 can monitor potential pollution "hot spots".

Referring to Figure 7, the remote sensing instrument 14a can be installed on a mechanized mount, indicated at 120. It can then be provided with a plurality of reflectors 80. The mount 120 can then be used to focus the beam on each reflector 80 in turn. This provides a multi-target setting, which is ideal for fence and grid monitoring. This allows the user to map the behaviour of an area of interest and helps identify "hot spots". Fence and grid monitoring are suitable for landfill sites and factory settings.

Practically all of the trace gases in industrial exhaust are due to chemical reactions, the system of the present invention can be used for process control feedback. Fluctuations in the concentration of some effluent gases and/or the appearance of new or unwanted components are often an indication of reaction efficiencies or reactions which are not proceeding ideally. Feedback from such information can be used to control the reaction, to maintain it at an efficient level and/or to prevent the production of pollutants or at least keep pollutant levels within regulatory limits. This can lead to more profitable operation of a plant, while reducing pollution levels.

Referring to Figure 3a, this shows a multiplexing arrangement. For exemplary purposes, this shows two stack probe monitors indicated at 18a and 18b, and a laser controller, here indicated at 10a connected to a controlling computer 12a (the suffix 'a' being used to distinguish from the earlier Figures).

Now, in accordance with the present invention, an optical multiplexer is provided at 24. This multiplexer 24 comprises first and second multiplexers 25 and 26, ganged together. Thus, the optical multiplexer 25 has a plurality of connections 25a on one side and a single connector 25b on the other side. The connector 25b is mounted for sliding movement, to permit its alignment with a selected one of the connections 25a. Correspondingly, the multiplexer 26 has a plurality of connections 26a on one



side and a single connector 26b on the other side. The connection 26b is again mounted for sliding movement.

A connection bar 28 connects the two single connectors 25b, 26b, to form a switching mechanism.

5 Just one single mode fiber 22a connects a laser to the multiplexer 25 and correspondingly a single multimode fiber 22b connects the multiplexer 26 to a laser detector at the controller 10a.

On the other side of the multiplexers, there are a plurality of single mode fibers 30 connecting the connections 25a to appropriate  
10 devices, such as the probes 18a and 18b. Correspondingly, there are a plurality of multimode fibers 32 for communicating the returned light to the connections 26a of the multiplexer 26. Thus, a selected one of the probes connected to the multiplexers can be connected to the laser controller 10a.

It will be appreciated that the multiplexers 25, 26 can be  
15 reversed for selectively connecting one of a number of lasers to a single probe, for example, on a time sharing basis. This would enable monitoring of different species at different times. Alternatively, additional multiplexes can be provided connected to the connections 25b, 26b and a plurality of lasers connected to inputs of the additional multiplexers. Then, a selected laser can  
20 be connected to a selected sensing unit.

Referring to Figure 3b, this shows a multiplexing arrangement for a single fiber configuration. This is shown in association with stack probes or monitors 218a and 218b. A single multiplexer 225 is provided connected by a single mode optical fiber 230 to the laser controller  
25 210. The optical fiber 230 comprises a first portion 230a providing a connection to the multiplexer 225 and second portions 230b connecting the outputs 225 to the probes 218a and 218b. The multiplexer 225 has an input connector 226 that can be moved to a selected one of its outputs. As shown, the multiplexer provides a connection to the probe 218a with the probe 218b  
30 being inactive. The laser controller 210 can be as described above.

5 3a. To distinguish from earlier figures, the laser controller is here identified  
by the reference 10b.

10 fibers, so that each receives 50% of the input light.

15                   As before, a single mode fiber 22a provides a connection to  
the multiplexer 25, and a multimode fiber 22b provides a connection back  
from the multiplexer 26 to a signal detector indicated at 48.

embodiment with just one, single mode optical fiber 230, again shown as a first portion 230a and second portion 230b. Stack probes 218a and 218b are shown as in Figure 3b. Here just the single multiplexer 225 is required.

25 beam splitters and combiners 242, 243. The beam splitters and combiners  
242, 243 are connected to a signal detector 132 and to reference cells  
indicated at 245.

the outputs of the multiplexer 225, here the probe to 218a. Instead of the beam splitter 244, if it is required to use a large number of lasers, another

multiplexer can be used, configured to selectively connect one laser source to the optical fiber 230a.

The arrangements of Figures 4a and 4b enables a number of different frequencies to be transmitted to a single probe simultaneously, for simultaneous detection of different gases and components of interest.

Reference will now be made to Figure 8, which shows an implementation of the system of the present invention. This shows a schematic implementation of a factory installation, including buildings 122, housing offices, administrative and control equipment. It also includes a number of stacks for flue or other process gases, of which two are indicated at 124.

The system with the fiber optic network configuration of Figure 3a and/or 3b is installed in this case, but for simplicity the description is in relation to the first embodiment of Figure 3a. The system control and acquisition computer 12a and the laser controller 10a are located in one of the buildings 122. These would then be connected to the fiber optic multiplexers 25 and 26 by the single and multiple mode optical fibers (not shown in Figure 8), or just single mode fibers and a single multiplexer 225 in the second embodiment.

The optical multiplexers 25, 26 or 225 are then in turn connected to the single and multiple mode optical fibers 30, 32 or 230.

Here, one stack 124 is equipped with the remote sensing configuration 14a or 214. As shown, the telescope 70 is mounted on one side of the stack and the reflector 80 is mounted on the other. One pair of optical cables 30, 32 is connected to the telescope 70, or single fiber 230 in the case of the configuration 214.

For the other stack 124, by way of example, there is shown the remote sensing configuration 14b of Figure 1a. This includes a transmitting telescope 94 on one side and a receiving telescope 96 on the other side. Again, a pair of optical fibers 30, 32 is provided.

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